



COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF ENVIRONMENTAL PROTECTION
BUREAU OF WASTE MANAGEMENT

**FORM HW-C
COMPLIANCE HISTORY**

Fully and accurately provide the following information, as specified. Attach additional sheets as necessary.

Type of Form HW-C Submittal (check all that apply):

Original Filing Amended Filing Date of Last Filing N/A

Type of Permit or License Submittal:

New Application Renewal Annual Update Other _____
(specify)

A. General Applicant Information:

1. NAME OF PERMIT OR LICENSE APPLICANT/PERMITTEE/LICENSEE ("applicant")
(non-corporations attach documentation of legal name):

Resource Recovery, LLC

ADDRESS: P.O. Box 525

3925 Columbia Avenue

Mountville, PA 17554

TELEPHONE NUMBER: 717-285-2020

TAXPAYER ID#: 20-2097287

PERMIT, LICENSE OR APPLICATION ID#: _____

2. Identify the form of management under which the applicant conducts its business (check appropriate box) and describe the type(s) of business activities performed:

- | | |
|---|---|
| <input type="checkbox"/> Individual | <input type="checkbox"/> Fictitious Name |
| <input type="checkbox"/> Municipality | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Proprietorship | <input type="checkbox"/> Limited Partnership |
| <input type="checkbox"/> Public Corporation | <input type="checkbox"/> Government Agency |
| <input checked="" type="checkbox"/> Private Corporation | <input type="checkbox"/> Joint Venture |
| <input type="checkbox"/> Syndicate | <input type="checkbox"/> Association |
| <input type="checkbox"/> Municipal Authority | <input type="checkbox"/> Other Type of Business _____ |

(specify)

3. Type of permit, license or application (check all that apply):

- Hazardous Waste Permit
- Hazardous Waste Transporter License
- Municipal Waste Permit
- Infectious, Chemotherapeutic Waste Transporter License
- Residual Waste Permit
- Other _____

(specify)

FORM HW-C*SEE SUPPORTING
SCHEDULE ATTACHED**B. General Information Regarding "Related Parties"**

1. **Applicants which are a corporation or a division of a corporation**, provide the following information:
 - a. The principal shareholders or stockholders who own, hold, or control stock of five percent (5%) or more of a publicly held corporation or ten percent (10%) or more of a privately held corporation.
 - b. State the names, principal places of business and taxpayer ID numbers of all domestic and foreign parent corporations (including ultimate parent corporations), and all domestic and foreign subsidiary corporations of the applicant, as well as the subsidiary corporations of the ultimate parent corporation. Include unincorporated divisions and private corporations. A diagram of corporate structure may be provided to illustrate corporate relationships.
 - c. List all principals of the corporation that have also been principals of other corporations which have committed any violation of the Environmental Protection Acts. (See Instructions, Items 2 and 6.)
2. Provide the names and addresses of all principals, corporate officers, general and limited partners, directors, other persons performing a function similar to a director, and other persons or related parties of the applicant (see Instructions, Items 4 and 5). The relationship to the applicant must be clearly described.
3. Provide the names and addresses, or IRS tax identification numbers and affiliation of other persons or related parties having or exercising control over any aspect of the proposed facility or activity that is regulated by the Department, including but not limited to, associates, agents, contractors, subcontractors, and property owners.
4. Provide the names and addresses of all owners of record of surface and subsurface areas within and contiguous to the proposed permit area. (Not applicable to transporter license applicants.)
5. Provide the names and addresses of all holders of record to a leasehold interest of surface and subsurface areas within and contiguous to the proposed permit area.
6. If the applicant, or other related party to the applicant, has a beneficial interest in, or otherwise manages or controls any other person, municipality or other related party (as described in Sections A and B) engaged in the business of solid waste collection, transportation, storage, processing, treatment, or disposal, provide the following information:
 - a. The name, address and tax identification number or employer identification number of the corporation, other person, municipality, or other entity, in which the applicant or other related party has a beneficial interest, manages, or controls as described above.
 - b. The nature of the relationship or participation with the corporation, other person, municipality, or other related party.

FORM HW-C

2. Specific information Regarding the Applicant and Its Related Parties

1. List the name and location of all of the **applicant's and related party's places of business and terminals** where municipal, residual and/or hazardous waste activities are conducted. Such activities include, but are not limited to generation, processing, collection, transportation and storage, treatment or disposal of solid waste, except that locations that generate only municipal waste need not be listed.

NONE

2. List all **permits or licenses issued** by the Department or any other state or federal agency under the Environmental Protection Acts to the applicant or any other persons or related parties identified in Sections A or B, that are currently in effect or have been in effect at any time in the ten years previous to the date on which this form is notarized. This list is to include the type of permit or license, permit or license number, location, address, issuance date and expiration date.

NONE

3. List all **permit or license denials** issued by the Department or any other state or federal agency under the Environmental Protection Acts to the applicant or any other person or related party identified in Section A or B, within ten years previous to the date on which this form is notarized. Include the type of permit or license, permit or license number, location, denial date and reason for denial.

NONE

4. List all persons or related parties identified in Sections A or B which have filed for or been discharged from **bankruptcy** within 10 years previous to the date on which this form is notarized. Specify the circumstances of bankruptcy including those for which the debtor sought to abandon property or to be discharged from any environmental liability subject to the Environmental Protection Acts. Include the name of the bankruptcy court, docket number and description and location of any property involved.

NONE

FORM HW-C

D. Compliance Background:

(Note: Copies of specific documents must be made available to the Department upon its request)

Compliance History:

List all "**Enforcement Actions**" issued by the Department or any other state or federal or county agency to the applicant or those persons or related parties identified anywhere in response to Sections A, B or C using the following format grouped by state and location in chronological order.

Date	Location	Permit/ License/ EPA ID #	Issuing Agency	Type of Action	Nature of Violation	Disposition	Dollar Amount of Penalty
-------------	-----------------	--	---------------------------	-------------------------------	--------------------------------	--------------------	---

Enforcement actions include but are not limited to:

All **notices of violation (NOVs)**, issued by any regulatory agency to the applicant or those persons or related parties identified anywhere in Sections A, B or C concerning the Environmental Protection Acts, or any other environmental statute, regulation or ordinance.

All **administrative orders, civil penalties, permit or license suspensions/revocations, bond forfeiture actions, and civil penalty actions** adjudicated by any judicial body against the applicant or those persons or related parties identified anywhere in Sections A, B or C concerning the Environmental Protection Acts, or a regulation or order or a condition of a permit or license.

All **consent orders, consent adjudications, consent decrees or monetary settlements (settlement agreements, letter agreements, settlement letters or consent assessments)** between the applicant or those persons or related parties identified anywhere in Sections A, B or C and any state, federal or county agency regarding the Environmental Protection Acts, or any other environmental statute, regulations or ordinance.

All **court proceedings** in which those persons or related parties identified anywhere in Sections A, B or C have been involved in relation to the Environmental Protection Acts.

All **summary, misdemeanor, or felony convictions, or pleas of guilty or no contest** that have been obtained against the applicant or those persons or related parties identified anywhere in Sections A, B or C, pursuant to the Environmental Protection Acts, or for any acts involving the generation, storage, treatment, transportation, processing, or disposal of municipal, residual or hazardous waste.

For all persons and municipalities identified in Section A, B or C, indicate all violations committed and any subsequent enforcement actions taken regarding the facility or activity not previously listed in this section, concerning the Environmental Protection Acts.

State the reasons for suspension, revocation, or denial of any permit/permit application or license/license application filed by the applicant or any related party concerning the Environmental Protection Acts. Provide the date, location and nature of the violations, type of action, issuing agency, dollar amount of any monetary penalty associated with the action and permit, license, EPA ID# or other identifying number if applicable.

THERE HAVE BEEN NO ENFORCEMENT ACTIONS
OR ANY OTHER ACTIONS AS LISTED ABOVE.

FORM HW-C

I hereby certify that I have the authority to respond to the above questions on behalf of the applicant, and that the information provided herein is true and correct to the best of my knowledge, information and belief.

Edwin B. Abel, Jr.
(Signature)

Name: Edwin B. Abel, Jr.
(Print or Type Name)

Title: President
(Print or Type Title)

Sworn to and subscribed before me this

21st day of March

2006

Victoria L. Druck
Notary Public

Notarial Seal
Victoria L. Druck, Notary Public
West Hempfield Twp., Lancaster County
My Commission Expires Apr. 28, 2007
Member, Pennsylvania Association Of Notaries

Eric J. Barley
(Signature)

Name: Eric J. Barley
(Print or Type Name)

Title: Secretary
(Print or Type Title)

Sworn to and subscribed before me this

21st day of March

2006

Victoria L. Druck
Notary Public

Notarial Seal
Victoria L. Druck, Notary Public
West Hempfield Twp., Lancaster County
My Commission Expires Apr. 28, 2007
Member, Pennsylvania Association Of Notaries

Attach copy
of Articles of Incorporation

(For Corporations, see the Instructions, Item 9, regarding signatures and submission of Articles of Incorporation.)